

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
C. Paul Cox et al.) Group: 3746
Serial No. 10/603,001)
Filed: June 24, 2003) Examiner:
Title: HYDROSTATIC PUMP ASSEMBLY)
HAVING SYMMETRICAL ENDCAP)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant wishes to bring to the attention of the Examiner the documents identified on the attached form PTO-1449. Applicant respectfully requests that these documents be made of record in the present application.

If any fees are necessary in connection with this document, or insufficient fees are sent herewith, please charge Deposit Account No. 02-0385, Baker & Daniels.

Respectfully submitted,

Michael D. Schwartz
Registration No. 44,326

Attorney for Applicant

MDS/pmp

BAKER & DANIELS
Suite 800
111 East Wayne Street
Fort Wayne, IN 46802
Telephone: 260-424-8000
Facsimile: 260-460-1700

Enc. Form PTO-1449
Cited References

CERTIFICATION OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE
IS BEING DEPOSITED WITH THE UNITED STATES POSTAL
SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE
ADDRESSED TO: COMMISSIONER OF PATENTS, P.O. BOX 1450,
ALEXANDRIA, VIRGINIA 22313-1450, on: October 6, 2003.

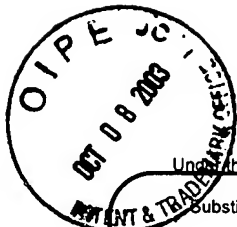
MICHAEL D. SCHWARTZ, REG. NO. 26,280

Name of Registered Representative

Signature

October 6, 2003

Date



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of

5

Complete if Known

| | |
|------------------------|------------------|
| Application Number | 10/603,001 |
| Filing Date | June 24, 2003 |
| First Named Inventor | C. Paul Cox |
| Art Unit | 3746 |
| Examiner Name | |
| Attorney Docket Number | TEP0237-01/P-137 |

U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| | | Number-Kind Code ² (if known) | | | |
| | | US- 2,784,554 | 03-12-1957 | Badalini | |
| | | US- 3,027,846 | 04-03-1962 | Schindler | |
| | | US- 3,175,363 | 03-30-1965 | Molly | |
| | | US- 3,177,665 | 04-13-1965 | Power | |
| | | US- 3,213,616 | 10-26-1965 | Reinke | |
| | | US- 3,224,377 | 12-21-1965 | Hicks | |
| | | US- 3,360,933 | 01-02-1968 | Swanson et al. | |
| | | US- 3,411,296 | 11-19-1968 | Peterson | |
| | | US- 3,521,449 | 07-21-1970 | Speggiorin | |
| | | US- 3,584,986 | 06-15-1971 | Hansen et al. | |
| | | US- 3,605,519 | 09-20-1971 | Heggen | |
| | | US- 3,616,869 | 11-02-1971 | Rilling | |
| | | US- 3,654,761 | 04-11-1972 | Eickmann | |
| | | US- 3,681,919 | 08-08-1972 | Forster | |
| | | US- 3,891,003 | 06-24-1975 | Duttarar et al. | |
| | | US- 4,041,703 | 08-16-1977 | Knapp | |
| | | US- 4,171,938 | 10-23-1979 | Pahl | |
| | | US- 4,298,315 | 11-03-1981 | Bristow et al. | |

FOREIGN PATENT DOCUMENTS

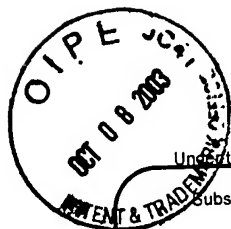
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|-----------------------|--------------------------|---|--------------------------------|--|---|----------------|
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 5

Complete if Known

| | |
|------------------------|------------------|
| Application Number | 10/603,001 |
| Filing Date | June 24, 2003 |
| First Named Inventor | C. Paul Cox |
| Art Unit | 3746 |
| Examiner Name | |
| Attorney Docket Number | TEP0237-01/P-137 |

U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
| | | Number-Kind Code ² (if known) | | | |
| | | US- 4,332,531 | 06-01-1982 | Marietta | |
| | | US- 4,360,322 | 11-23-1982 | Bristow et al. | |
| | | US- 4,391,569 | 07-05-1983 | Bristow et al. | |
| | | US- 4,432,709 | 02-21-1984 | Hansen | |
| | | US- 4,436,489 | 03-13-1984 | Zangenberg | |
| | | US- 4,703,682 | 11-03-1987 | Hansen | |
| | | US- 4,750,866 | 06-14-1988 | Entwistle | |
| | | US- 4,756,208 | 07-12-1988 | Hayashi et al. | |
| | | US- 4,798,128 | 01-17-1989 | Mita | |
| | | US- 4,858,480 | 08-22-1989 | Rohde et al. | |
| | | US- 4,903,545 | 02-27-1990 | Louis et al. | |
| | | US- 4,934,253 | 06-19-1990 | Berthold et al. | |
| | | US- 4,936,753 | 06-26-1990 | Kozumplik, Jr. et al | |
| | | US- 5,031,403 | 07-16-1991 | Okada | |
| | | US- 5,074,195 | 12-24-1991 | Ohashi et al. | |
| | | US- 5,078,659 | 01-07-1992 | von Kaler et al. | |
| | | US- 5,090,949 | 02-25-1992 | Thoma et al. | |
| | | US- 5,125,291 | 06-30-1992 | Makita et al. | |

FOREIGN PATENT DOCUMENTS

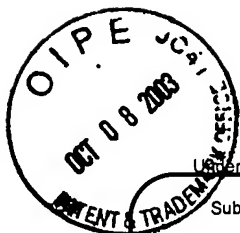
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 3 of 5

Complete if Known

| | |
|------------------------|------------------|
| Application Number | 10/603,001 |
| Filing Date | June 24, 2003 |
| First Named Inventor | C. Paul Cox |
| Art Unit | 3746 |
| Examiner Name | |
| Attorney Docket Number | TEP0237-01/P-137 |

U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
| | | Number-Kind Code ² (if known) | | | |
| | | US- 5,218,886 | 06-15-1993 | Louis et al. | |
| | | US- 5,289,738 | 03-01-1994 | Szulczewski | |
| | | US- 5,528,977 | 06-25-1996 | Goade | |
| | | US- 5,540,563 | 07-30-1996 | Hansell | |
| | | US- 5,555,727 | 09-17-1996 | Hauser et al. | |
| | | US- 5,557,931 | 09-24-1996 | Hauser et al. | |
| | | US- 5,622,051 | 04-22-1997 | Iida et al. | |
| | | US- 5,628,189 | 05-13-1997 | Hauser et al. | |
| | | US- 5,678,471 | 10-21-1997 | Ash, Jr. et al. | |
| | | US- 5,697,264 | 12-16-1997 | Andrews et al. | |
| | | US- 5,709,084 | 01-20-1998 | Krantz | |
| | | US- 5,794,443 | 08-18-1998 | Shimizu | |
| | | US- 5,819,535 | 10-13-1998 | Smothers et al. | |
| | | US- 5,894,783 | 04-20-1999 | Hauser et al. | |
| | | US- 5,913,802 | 06-22-1999 | Mullet et al. | |
| | | US- 5,918,691 | 07-06-1999 | Ishii | |
| | | US- 5,957,229 | 09-28-1999 | Ishii | |
| | | US- 5,979,270 | 11-09-1999 | Thoma et al. | |

FOREIGN PATENT DOCUMENTS

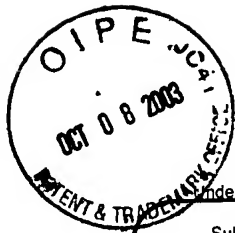
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 4 of 5

Complete if Known

| | |
|------------------------|------------------|
| Application Number | 10/603,001 |
| Filing Date | June 24, 2003 |
| First Named Inventor | C. Paul Cox |
| Art Unit | 3746 |
| Examiner Name | |
| Attorney Docket Number | TEP0237-01/P-137 |

U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
| | | Number-Kind Code ² (if known) | | | |
| | | US- 6,027,250 | 02-22-2000 | Reubelt et al. | |
| | | US- Re. 36, 807 | 08-08-2000 | Okada | |
| | | US- 6,113,359 | 09-05-2000 | Watts et al. | |
| | | US- 6,125,630 | 10-03-2000 | Abend et al. | |
| | | US- 6,192,682 | 02-27-2001 | Smothers et al. | |
| | | US- 6,231,466 | 05-15-2001 | Thoma et al. | |
| | | US- 6,237,332 | 05-29-2001 | Thoma et al. | |
| | | US- 6,272,854 | 08-14-2001 | Iishi et al. | |
| | | US- 6,332,393 | 12-25-2001 | Trimble | |
| | | US- 6,343,471 | 02-05-2002 | Thoma et al. | |
| | | US- 6,346,059 | 02-12-2002 | Thoma et al. | |
| | | US- 6,487,857 | 12-03-2002 | Poplawski et al. | |
| | | US- 6,494,686 | 12-17-2002 | Ward | |
| | | US- 6,502,394 | 01-07-2003 | Trimble | |
| | | US- 6,526,748 | 03-04-2003 | Trimble | |
| | | US- 6,536,212 | 03-25-2003 | Irikura et al. | |
| | | US- 6,539,713 | 04-01-2003 | Johnson et al. | |
| | | US- 6,540,633 | 04-01-2003 | Hasegawa et al. | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

